

115

# INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

Application Number

Filing Date

Concurrently

First Named Inventor

Christian HENTSCHEL et al.

Group Art Unit

Examiner Name

Attorney Docket Number

NL 000651

J1002 U.S. PTO  
09/29/2001

11/20/01

These documents may be relevant in that they have been:

- ☐ considered in drafting the specification of the above-referenced application;
- ☒ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) \_\_\_\_\_ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- ☐ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;
- ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
- ☐ otherwise a concise explanation of the relevance of each document is append hereto.
- ☐ I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office nor was any known to any individual designated in §1.56(c) more than three (3) months ago.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	Michael E. Marion	Registration No. (Attorney/Agent)	32,266
Signature		Date	11/20/01

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office tel# : \_\_\_\_\_ on the date below: \_\_\_\_\_

Name (Print Type)			
Signature		Date	

Form PTO-1449  
U.S. DEPARTMENT OF COMMERCE (REV.7-80)  
PATENT AND TRADEMARK OFFICE

Atty. Docket No.  
NL 000651

Serial No.

Applicant  
CHRISTIAN HENTSCHEL ET AL

Filing Date  
CONCURRENTLY

Group

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

11002 U.S. PTO  
09/989247  
11/20/01

### U.S. PATENT DOCUMENTS

Ex. Int.		Document Number							Date	Name	Class	Sub-class	Filing Date If Approp.
	AA	5	6	6	3	7	6	3	9/2/97	YAGASAKI ET AL	348	405	
	AB												
	AC												
	AD												
	AE												
	AF												

### FOREIGN PATENT DOCUMENTS

		Document Number									Date	Country	Class	Sub-class	Trans.	
															Yes	No
	AG	E	P	0	5	7	9	2	2	4	11/4/98	EUROPE	H04N	1/46	X	
	AH	W	O	9	8	3	6	5	7	3	8/20/98	PCT	H04N	7/30	X	
	AI	6	0	0	9	0	3	5	1		3/29/94	JAPAN	H04N	1/40	X	
	AJ	6	0	2	4	5	0	7	9		2/15/93	JAPAN	H04N	1/41	X	
	AK	6	2	0	2	8	3	7	7	6	12/9/87	JAPAN	H04N	1/41	X	

**OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AL	
	AM	
	AN	

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 6. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV.7-80) PATENT AND TRADEMARK OFFICE										Atty. Docket No. NL 000651		Serial No.	
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)										Applicant CHRISTIAN HENTSCHEL ET AL			
										Filing Date CONCURRENTLY		Group	

  

U.S. PATENT DOCUMENTS													
Ex. Int.		Document Number	Date	Name	Class	Sub-class	Filing Date If Approp.						
	AA												
	AB												
	AC												
	AD												
	AE												
	AF												

  

FOREIGN PATENT DOCUMENTS													
		Document Number	Date	Country	Class	Sub-class	Trans.						
							Yes	No					
	AG	6 2 0 2 8 3 7 7 6	12/9/87	JAPAN	H04N	1/41	X						
	AH	6 2 0 2 5 2 2 9 2	4/25/86	JAPAN	H04N	9/68	X						
	AI	6 3 0 0 0 3 5 8 8	6/23/86	JAPAN	H04N	7/133	X						
	AJ												
	AK												

  

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)													
	AL												
	AM												
	AN												

  

Examiner	Date Considered
----------	-----------------

  

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 6  
 Draw line through citation if not in conformance and not considered. Include a copy of  
 this form with next communication to applicant.